

# How QCD evolution kernels depend on the type of evolution variable

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# Outline

- ▶ Introduction
- ▶ The KrkMC projects
- ▶ Collinear factorization and evolution kernels
- ▶ Calculation of NLO kernels
- ▶ Results – kernels in various variables
- ▶ Summary

# (Very) Long-term perspective

## The NNLO + NLO Parton Shower for LHC

- ▶ LO Hard process + LO Shower  
Pythia, Herwig (1980-s)
- ▶ NLO Hard process + LO Shower  
MC@NLO, PowHEG (2000-s)  
KrkNLO (Jadach et.al., 2015)
- ▶ NLO Hard process + NLO Shower  
KrkMC (Jadach et.al., ongoing)

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- ▶ NNLO Hard process + NLO Shower  
?????????????????

Other developments: MINLO, Z. Nagy et.al., H. Tanaka et.al. . . .

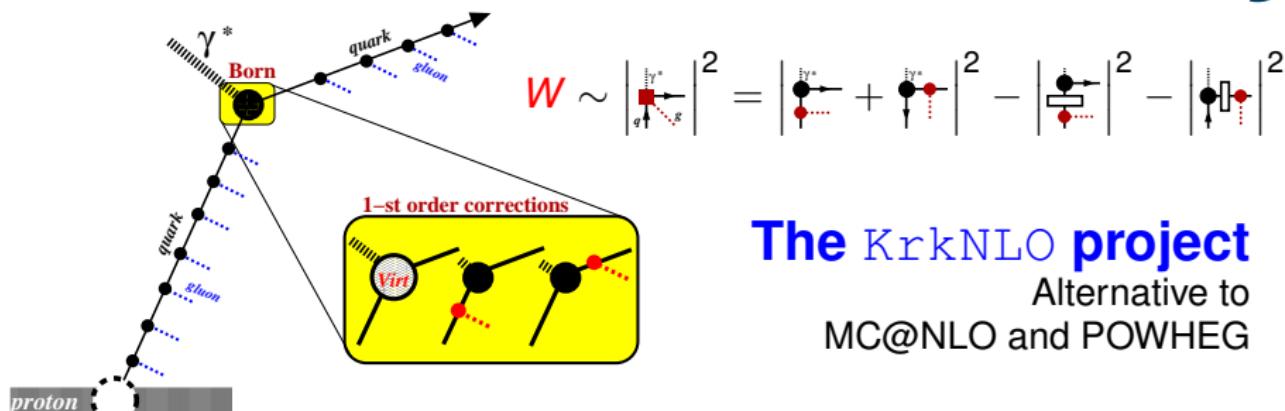
# The KrkMC project

Based on collinear factorization. Requires:

- ▶ Reformulation of factorization in fully exclusive way
- ▶ Recalculation of the evolution kernels
  - ▶ exclusive
  - ▶ in four dimensions
  - ▶ well defined relation to MS-bar
- ▶ Kinematical mappings
- ▶ Reweighting procedure (positive, convergent)

Axial gauge instrumental – allows for physical interpretation

# NLO-corrected Hard process

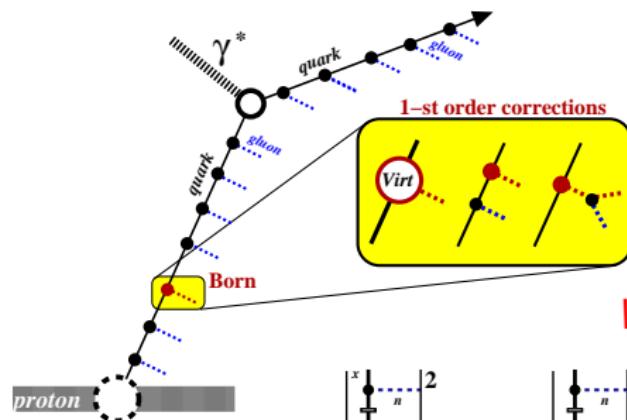


The KrkNLO project  
Alternative to  
MC@NLO and POWHEG

$$W_{MC}^{NLO} = \sum_{n,m=0}^{\infty} \left\{ \left| \begin{array}{c} \text{Born} \\ \vdots \\ \text{1st order corrections} \end{array} \right|^2 + \sum_{j=1}^{n-1} \left| \begin{array}{c} \text{Born} \\ \vdots \\ \text{1st order corrections} \\ \text{with } j \text{ gluons} \end{array} \right|^2 + \sum_{r=1}^m \left| \begin{array}{c} \text{Born} \\ \vdots \\ \text{1st order corrections} \\ \text{with } r \text{ gluons} \end{array} \right|^2 \right\}$$

$$W_{MC}^{NLO} = 1 + \Delta_{S+v} + \sum_{j \in F} \frac{\tilde{\beta}_1(\hat{s}, \hat{p}_F, \hat{p}_B; a_j, z_{Fj})}{\bar{P}(z_{Fj}) d\sigma_B(\hat{s}, \hat{\theta})/d\Omega} + \sum_{j \in B} \frac{\tilde{\beta}_1(\hat{s}, \hat{p}_F, \hat{p}_B; a_j, z_{Bj})}{\bar{P}(z_{Bj}) d\sigma_B(\hat{s}, \hat{\theta})/d\Omega},$$

# NLO-corrected middle-of-the-ladder kernel, $C_F^2$



The KrkMC project

$$W \sim \left| \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \right|^2 = \left| \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \right|^2 - \left| \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \right|^2$$

$$\begin{aligned} \bar{D}_B^{[1]}(x, Q) &= e^{-S_{ISR}} \sum_{n=0}^{\infty} \left\{ \left| \begin{array}{c} x \\ \text{---} \\ n \\ \text{---} \\ n-1 \\ \text{---} \\ 2 \\ \text{---} \\ 1 \end{array} \right|^2 + \sum_{p=1}^n \left| \begin{array}{c} \text{---} \\ \text{---} \\ n \\ \text{---} \\ n-1 \\ \text{---} \\ p \\ \text{---} \\ 2 \\ \text{---} \\ 1 \end{array} \right|^2 + \sum_{p=1}^n \sum_{j=1}^{p-1} \left| \begin{array}{c} \text{---} \\ \text{---} \\ n \\ \text{---} \\ p \\ \text{---} \\ j \\ \text{---} \\ 2 \\ \text{---} \\ 1 \end{array} \right|^2 \right\} = e^{-S_{ISR}} \left\{ \delta_{x=1} + \right. \\ &+ \sum_{n=1}^{\infty} \left( \prod_{i=1}^n \int_{Q > a_i > a_{i-1}} d^3 \eta_i \rho_{1B}^{(1)}(k_i) \right) \left[ 1 + \sum_{p=1}^n \beta_0^{(1)}(z_p) + \sum_{p=1}^n \sum_{j=1}^{p-1} W(\tilde{k}_p, \tilde{k}_j) \right] \delta_{x=\prod_{j=1}^n x_j} \left. \right\}. \end{aligned}$$

Prototype MC code based on the KrkMC scheme works!

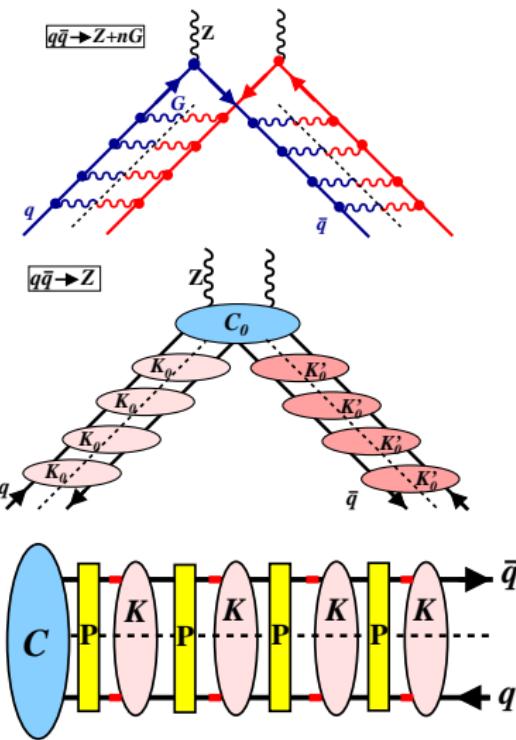
# Collinear factorization and construction of the evolution kernel

LO cascade and  
construction of the ladder

Include NLO and  
group graphs in the ladder into  
"two-particle-irreducible" sets  $K$

Use "projection operators"  $P$  to  
split the ladder and extract kernels

$$\Gamma_{qq} = \text{Tr} \left[ \frac{\hat{n}}{4nq} K \hat{p} \right]$$



# Projection operators, kernels

The projection operators work as follows

- ▶ Close fermionic or gluonic lines
- ▶ Put incoming parton on-shell
- ▶ Extract pole parts of the expression

The kernel  $P_{qq}$  is defined as the residue of  $\Gamma$ :

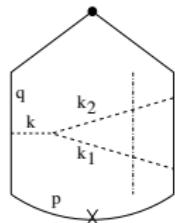
$$\Gamma_{qq} = \delta_{1-x} + \frac{1}{\epsilon} \left[ \left( \frac{\alpha}{2\pi} \right) P^{(1)} + \frac{1}{2} \left( \frac{\alpha}{2\pi} \right)^2 P^{(2)} + \dots \right] + \dots$$

$$P_{qq} = \left( \frac{\alpha}{2\pi} \right) P^{(1)} + \left( \frac{\alpha}{2\pi} \right)^2 P^{(2)} + \dots$$

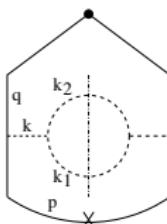
# Graphs to be considered for $P_{qq}$ kernel

## Mechanisms of cancellation

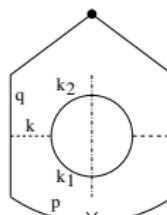
- ▶ Single pole  $1/\epsilon$  graphs – invariant



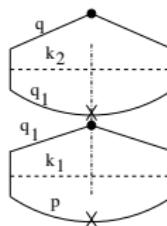
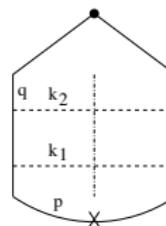
- ▶ Triple pole  $1/\epsilon^3$  graph – needs New PV prescription:



or



- ▶ Double pole – real-virtual cancellation:  
Partial cancellation only



- ▶ Double pole – cancelled by counter-term:

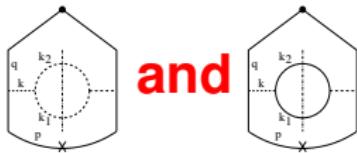
# Cut-offs to be considered

## Various ordering variables

- ▶ Standard one i.e. virtuality:  $-q^2 < Q^2$
- ▶ Transverse momentum of the emitted partons:  
 $\max\{k_{1\perp}, k_{2\perp}\} < Q$  or  $k_{1\perp} + k_{2\perp} < Q$
- ▶ Rapidity (angle) of the emitted partons:  
 $\max\{k_{1\perp}/\alpha_1, k_{2\perp}/\alpha_2\} < Q$  or  $|\vec{k}_{1\perp} + \vec{k}_{2\perp}|/(\alpha_1 + \alpha_2) < Q$   
because  $k_\perp^2 = 2pn\alpha\beta \rightarrow k_\perp/\alpha \sim \sqrt{\beta/\alpha}$

We define  $k_{i\perp} \equiv |\vec{k}_{i\perp}|$  and  $k_i = \alpha_i p + \beta_i n + k_{i\perp}^{(m)}$

# Calculation of and



The starting formula reads

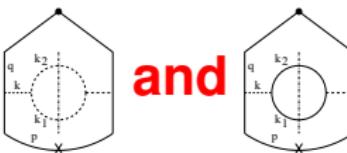
$$\Gamma_G = c_G^V g^4 \times \text{PP} \left[ \frac{1}{\mu^{4\epsilon}} \int d\Psi \delta\left(x - \frac{qn}{pn}\right) \frac{1}{q^4} W_G \right],$$

$$\begin{aligned} d\Psi &= \frac{d^m k_1}{(2\pi)^m} 2\pi \delta^+(k_1^2) \frac{d^m k_2}{(2\pi)^m} 2\pi \delta^+(k_2^2) \\ &= (2\pi)^{-2m+2} \frac{1}{4} \frac{d\alpha_1}{\alpha_1} \frac{d\alpha_2}{\alpha_2} d^{m-2} \vec{k}_{1\perp} d^{m-2} \vec{k}_{2\perp}, \end{aligned}$$

$$c_G^V = \frac{1}{2} C_G C_F,$$

$$\begin{aligned} W_G &= \frac{1}{4qn} \frac{1}{k^4} \text{Tr} \left( \hat{n} \hat{q} \gamma^\mu \hat{p} \gamma^\lambda \hat{q} \right) d_{\nu''\nu'}(k_2) d_{\mu\mu''}(k_1 + k_2) d_{\lambda''\mu'}(k_1) d_{\mu'\lambda}(k_1 + k_2) \\ &\quad \times V(k_1^{\mu''} + k_2^{\mu''}, -k_2^{\nu''}, -k_1^{\lambda''}) V(k_1^{\mu'}, k_2^{\nu'}, -k_1^{\lambda'} - k_2^{\lambda'}). \end{aligned}$$

## Technicalities for and



- ▶ These graphs have double poles: from  $1/q^2$  and  $1/k^2$
- ▶ Trick: calculate the difference w.r.t. the standard case  $-q^2 < Q^2$

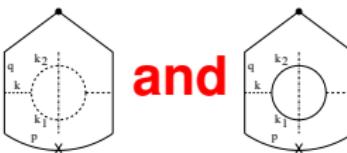
$$\Delta\Gamma^{X-q^2} = \Gamma(X < Q^2) - \Gamma(-q^2 < Q^2)$$

Singularity at  $q^2 = 0$  gets excluded, i.e. double pole is eliminated

- ▶ We look for a coefficient of single pole,  
so the other singular integral can be simplified to

$$dk^2(k^2)^{-1+\epsilon} \rightarrow dk^2 \frac{1}{\epsilon} \delta(k^2 = 0)$$

## Technicalities for and, cont.



- It is convenient to change variables

$$\vec{\kappa}_1 = \frac{\alpha_1}{\alpha_1 + \alpha_2} \left( \vec{k}_{1\perp} + \vec{k}_{2\perp} \right), \quad \vec{\kappa}_2 = \frac{\alpha_1 \alpha_2}{\alpha_1 + \alpha_2} \left( \frac{\vec{k}_{2\perp}}{\alpha_2} - \frac{\vec{k}_{1\perp}}{\alpha_1} \right)$$

so that the singular variables  $k^2$  and  $q^2$  become diagonal

$$k^2 = \frac{(1-x)^2}{\alpha_1 \alpha_2} \kappa_2^2, \quad -q^2 = \frac{1-x}{\alpha_1} \left( \kappa_1^2 \frac{1}{\alpha_1} + \kappa_2^2 \frac{x}{\alpha_2} \right)$$

## The case of $k_{1\perp} + k_{2\perp} < Q$

The real emission graphs Vf and Vg give

$$\Delta\Gamma_{Vf+Vg}^{k_\perp-q} = C_F \left(\frac{\alpha_S}{2\pi}\right)^2 \frac{1}{2\epsilon} \frac{1+x^2}{1-x} \ln \frac{1}{1-x} \left[ -\beta_0 + 4C_A \left( I_0 + \ln(1-x) \right) \right]$$
$$\beta_0 = \frac{11}{3} C_A - \frac{4}{3} T_F.$$

and the virtual partners give just the opposite

$$\Delta\Gamma_{virtual}^{k_\perp-q} = -\Delta\Gamma_{Vf+Vg}^{k_\perp-q}$$

so we get complete cancellation – kernel remains unchanged

The same invariance of the kernel holds for rapidity (angular) variables  
 $\max\{k_{1\perp}/\alpha_1, k_{2\perp}/\alpha_2\} < Q$  and  $|\vec{k}_{1\perp} + \vec{k}_{2\perp}|/(\alpha_1 + \alpha_2) < Q$ .

## The case of $\max\{k_{1\perp} + k_{2\perp}\} < Q$

The real emission graphs Vf and Vg give this time

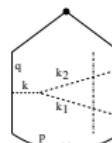
$$\Delta\Gamma_{Vf+Vg}^{\max k_{\perp}-q} = \Delta\Gamma_{Vf+Vg}^{k_{\perp}-q} + C_F \left( \frac{\alpha_S}{2\pi} \right)^2 \frac{1}{\epsilon} \frac{1+x^2}{1-x} \left[ C_A \left( \frac{\pi^2}{3} - \frac{1}{8} \right) + \beta_0 \left( \ln 2 - \frac{23}{24} \right) \right]$$

and we do not have complete cancellation.  
This leads to the modification of the kernel

$$P_{qq}(\max\{k_{1\perp}, k_{2\perp}\} < Q) - P_{qq}(-q^2 < Q^2) = \\ = C_F \left( \frac{\alpha_S}{2\pi} \right)^2 \frac{1+x^2}{1-x} \left[ C_A \left( \frac{2\pi^2}{3} - \frac{1}{4} \right) + \beta_0 \left( 2\ln 2 - \frac{23}{12} \right) \right]$$

**This is the new result**

## Triple/Single pole graph



(Yg)

Single pole graphs do not contribute to  $\Gamma(X < Q^2) - \Gamma(-q^2 < Q^2)$

Yg has triple pole unless one modifies PV regularisation prescription

$$\text{gluon propagator (axial gauge): } \frac{1}{nl} \left( g^{\mu\nu} - \frac{l^\mu n^\nu + n^\mu l^\nu}{nl} \right)$$

Spurious singul.  $1/nl$  cancel in full set of diags, but need regulariz.

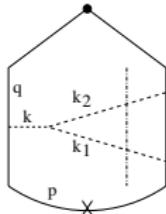
Standard CFP: regularize with PV only the gluon propagator

$$\text{Principal Value: } \left[ \frac{1}{nl} \right]_{PV} = \frac{nl}{(nl)^2 + \delta^2(pn)^2}$$

New proposal: regularize with PV all (+)-singularities of the integrand

$$\frac{d^m I}{I_+^{1-\epsilon}} \rightarrow d^m I \left[ \frac{1}{I_+} \right]_{PV} \left( 1 + \epsilon \ln I_+ + \epsilon^2 \frac{1}{2} \ln^2 I_+ + \dots \right), I_+ = \frac{nl}{np}$$

# Results for Yg graph with $-q^2 < Q^2$ cut-off



Standard PV [Heinrich, Kunszt, 1998]:

$$N(\epsilon, Q^2) \left[ \frac{P_{qq}(x)}{\epsilon^3} - 2I_0 \frac{P_{qq}(x)}{\epsilon^2} + \frac{p_{qq}(x)}{\epsilon} \left( -2I_1 + 4I_0 + 2I_0 \ln x - 2I_0 \ln(1-x) \right) \right] + \mathcal{O}\left(\frac{1}{\epsilon}\right)$$

$1/\epsilon^3$  poles, not invariant!

New PV prescription [Jadach et.al. 2011]:

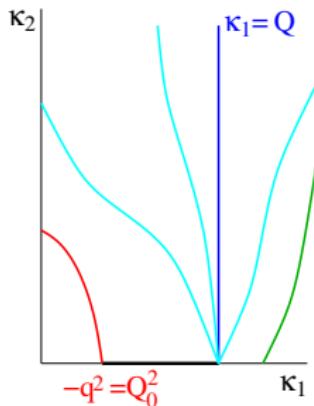
$$\frac{p_{qq}(x)}{\epsilon} \left( 2I_1 + 4I_0 + 2I_0 \ln x - 2I_0 \ln(1-x) \right) + \mathcal{O}\left(\frac{1}{\epsilon}\right)$$

$1/\epsilon$  poles, INVARIANT!

$$I_0 = \int_0^1 \frac{dx}{[x]_{PV}} \sim \int_\delta^1 \frac{dx}{x} = -\ln \delta, \quad I_1 = \int_0^1 \frac{dx \ln x}{[x]_{PV}} \sim -\frac{1}{2} \ln^2 \delta,$$

$$P_{qq}(x) = p_{qq} + \epsilon(1-x), \quad p_{qq} = \frac{1+x^2}{1-x}$$

# General: Which evol vars lead to the same kernel



- ▶ The red  $-q^2 = Q_0^2$  line marks the subtracted region below.
- ▶ The singularities lie at the origin of the frame ( $q^2 = 0$ ) and along the line  $\kappa_2^2 \sim k^2 = 0$ .
- ▶ The integration path is the thick line along  $\kappa_2 = 0$  between crossing points of  $-q^2 = Q_0^2$  and the cut-off with the axis.
- ▶ Cut-offs (blue) are equivalent if they cross the  $\kappa_1$ -axis at the same point.

## Some comments

- ▶ Obvious remark – the LO kernel is invariant.
- ▶ The dependence of the MS NLO kernel on the choice of the upper kinematic boundary  $Q$  is absolutely unthinkable!!!  
It contradicts the "theorem" proven in CFP paper.
- ▶ The problem is absent for gluonstrahlung (non-singlet) graphs.
- ▶ It is absent for single-pole diagrams.
- ▶ It is also absent for gluon splitting, for  $Q = |\vec{k}_{1T} + \vec{k}_{2T}|$ ,  
 $Q = k_{1T} + k_{2T}$  and for rapidities (angular ordering)
- ▶ The change is present only for diagrams with FSR gluon splitting  
into gluon pair calculated for  $Q = \max\{k_{1T} + k_{2T}\}$ , combined with  
the relevant virtual diagram counter-partner.
- ▶ Analytical inspection shows that source is the mismatch between  
upper kinematic limit in virtual and real diagram contributions.

# Possible explanations

- ▶ CFP scheme is basically wrong?
- ▶ It is due to partial introduction of the dimensional regularization in CFP, only for collinear singularity, not for lightcone variable?
- ▶ It is due to the NPV regularisation instead of PV as in CFP?
- ▶ Is it due to the use of the axial gauge?
- ▶ Maybe it is just a different factorization scheme and it cancels when combined with NNLO hard process?
- ▶ Maybe it is absent in case of the  $k_T$ -ordering variant, which is actually used in the realistic PS MC like HERWIG or PYTHIA? Our  $k_T$ 's are both with respect to incoming parton.