# Lepton flavor mixing and mass order are preserved in the 3HDM under flavor symmetry

Joris Vergeest in coll. with Bartosz Dziewit and Marek Zrałek

**Silesian University** 

MTTD 2025, Katowice, 15-19 September 2025

### Introduction:

Standard Model is not flavor blind, however Yukawa matrices are unknown.

Lepton sector:  $G_F = U(3) \times U(3)$ (or  $G_F = U(3) \times U(3) \times U(3)$  if RH neutrinos exist).

No constraints on masses and neutrino mixing.

Fermion masses > 0 due to the Higgs doublet of the SM.

### Introduction:

Standard Model is not flavor blind, however Yukawa matrices are unknown.

Lepton sector:  $G_F = U(3) \times U(3)$ (or  $G_F = U(3) \times U(3) \times U(3)$  if RH neutrinos exist).

No constraints on masses and neutrino mixing.

Fermion masses > 0 due to the Higgs doublet of the SM.

In contrast: Exp data -> non-degenerate masses, and  $U_{PMNS}$ .

$$G_F$$
 cannot be  $(U(3))^3$ 

### Introduction:

Standard Model is not flavor blind, however Yukawa matrices are unknown.

Lepton sector:  $G_F = U(3) \times U(3)$ (or  $G_F = U(3) \times U(3) \times U(3)$  if RH neutrinos exist).

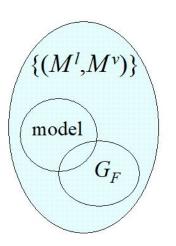
No constraints on masses and neutrino mixing.

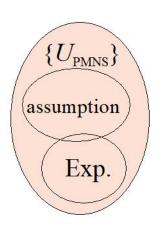
Fermion masses > 0 due to the Higgs doublet of the SM.

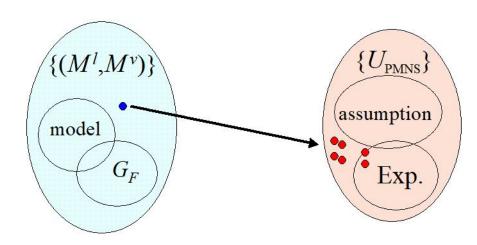
In contrast: Exp data -> non-degenerate masses, and  $U_{PMNS}$ .

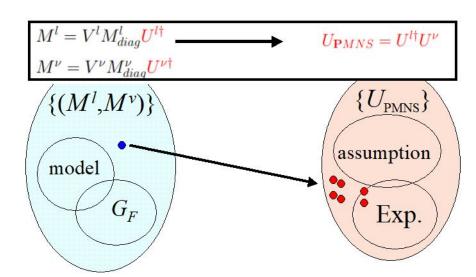
# $G_F$ cannot be $(U(3))^3$

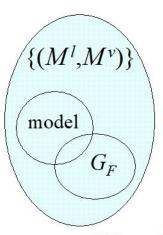
Can we find any nontrivial  $G_f \subset (U(3))^3$ ? Or is it completely broken?

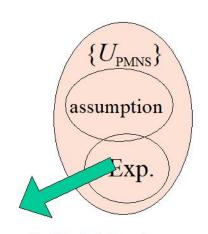




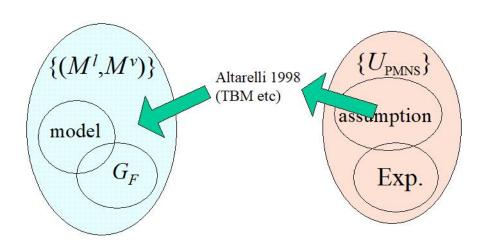


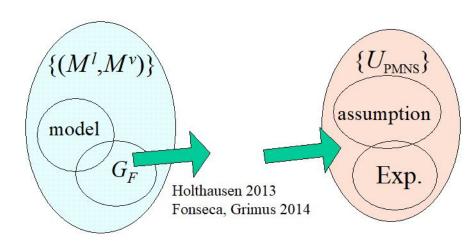


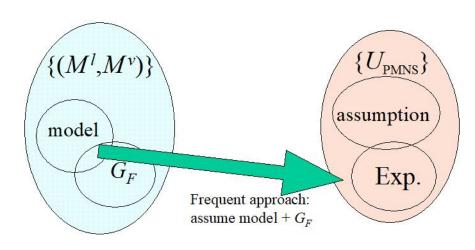




Kielanowski 2024, ( $U_{\text{PMNS}} = R_{V} D(\alpha, \beta, \gamma) R_{l} \dots$ ) Karmakar 2024, (correlations among exp. parameters)







# Methodology and assumptions:

Model is SM  $+ \nu_{Ri} +$  two extra Higgs doublets (3HDM)

 $\nu_i$  have Dirac nature

 $U_{PMNS}$  must reflect lepton mass order

Flavor vectors are:  $L_L$ ,  $I_R$ ,  $\nu_R$  and  $(\Phi_1\Phi_2\Phi_3)^T$ 

Only source of flavor symmetry breaking are the Yukawa matrices

Does any  $G_F$  survive EWSB?

### 3HDM Yukawa term

$$\mathcal{L}' = -\overline{L}_{\alpha L}(h'_i)_{\alpha \beta} \tilde{\Phi}_i I_{\beta R} + \text{H.c.},$$

$$i = 1..3$$
 27 terms + H.c.

### Relevant Yukawa terms

$$\mathcal{L}\supset\mathcal{L}'+\mathcal{L}^
u\qquad (+\mathcal{L}^{
u L}+\mathcal{L}^{
u R}+\mathcal{L}^{
u M})$$

### Relevant Yukawa terms

$$\mathcal{L}\supset\mathcal{L}^I+\mathcal{L}^
u\qquad\left(+\mathcal{L}^{
u L}+\mathcal{L}^{
u R}+\mathcal{L}^{
u M}
ight)$$

 $\mathcal{L}^{\nu L}$  is not EW gauge invariant  $\mathcal{L}^{\nu R}$  has no effect on phenomenology  $\mathcal{L}^{\nu M}$  Majorana term not taken into account

### Central question (3HDM):

Does any finite  $G_F > U(1)$  exist such that  $(U(3))^3 \to G_F$  is within phenomenological bounds after EWSB?

## Central question (3HDM):

Does any finite  $G_F > U(1)$  exist such that  $(U(3))^3 \to G_F$  is within phenomenological bounds after EWSB?

At first: Can  $G_F$  accommodate the <u>mass ratios</u> of the charged leptons <u>and</u> the neutrinos?

Note: Some studies neglect masses and focus on PMNS only!

$$3 \times 3' \times 3'' = n'1 + ...$$

 $n^{\prime}$  is the number of possible contractions, that is the number of solutions for  $h^{\prime}$  of

$$(C^{\dagger} \otimes B^T \otimes A^{\dagger}) vec(h') = vec(h').$$

(similar for 
$$n^{\nu}$$
)

$$3 \times 3' \times 3'' = n'1 + ...$$

 $n^{\prime}$  is the number of possible contractions, that is the number of solutions for  $h^{\prime}$  of

$$(C^{\dagger} \otimes B^T \otimes A^{\dagger}) vec(h^l) = vec(h^l).$$

(similar for 
$$n^{\nu}$$
) (suited for Mathematica and GAP)

$$\begin{split} \mathcal{L}' &= -\overline{L}_{\alpha L}(h_i')_{\alpha\beta} \tilde{\Phi}_i I_{\beta R} + \text{ H.c.,} \\ &\quad \text{A} \quad \text{C} \quad \text{B} \\ \text{(irreducible representations of } G_F ) \end{split}$$

$$3 \times 3' \times 3'' = n'1 + ...$$

 $n^{l}$  is the number of possible contractions, that is the number of solutions for  $h^{l}$  of

$$(C^{\dagger} \otimes B^T \otimes A^{\dagger}) vec(h^{\prime}) = vec(h^{\prime}).$$

(similar for 
$$n^{\nu}$$
)  
(suited for Mathematica and GAP)

 $h^{\prime}$  and  $h^{\nu}$  define the mass matrices:

### Solutions $h^{l}$ , $h^{\nu}$ define the mass matrices

$$M^I = -rac{1}{\sqrt{2}}v_i^*h_i^I \ M^
u = rac{1}{\sqrt{2}}v_ih_i^
u$$

$$(v_i \text{ is VEV of } \phi_i)$$

 $M^{\prime}$  and  $M^{\nu}$  have one of patterns P1 ... P7:

$$P1 = \begin{pmatrix} 0 & v_1 & 0 \\ 0 & 0 & v_2 \\ v_3 & 0 & 0 \end{pmatrix}$$

$$P2 = \begin{pmatrix} 0 & v_3 & v_2 \\ v_3 & 0 & v_1 \\ v_2 & v_1 & 0 \end{pmatrix}$$

$$P3 = \begin{pmatrix} v_2 & v_2 & v_2 \\ v_3 & v_3 & v_3 \\ v_1 & v_1 & v_1 \end{pmatrix}$$

P4 = 
$$\begin{pmatrix} v_{2} & v_{3} & v_{1} \\ v_{2} & v_{3} & v_{1} \\ v_{2} & v_{3} & v_{1} \end{pmatrix}$$
P5 = 
$$\begin{pmatrix} v_{1} & v_{2} & v_{3} \\ v_{3} & v_{1} & v_{2} \\ v_{2} & v_{3} & v_{1} \end{pmatrix}$$
P6 = 
$$\begin{pmatrix} 0 & v_{1} + v_{2} + v_{3} & 0 \\ 0 & 0 & v_{1} + v_{2} + v_{3} \\ v_{1} + v_{2} + v_{3} & 0 & 0 \end{pmatrix}$$
P7 = 
$$\begin{pmatrix} a & b & c \\ d & e & f \\ \sigma & h & i \end{pmatrix}$$

Table: Occurrences of patterns P1 to P7 of  $\{M^I, M^\nu\}$  of the 1-dimensional solutions,  $n^I = 1, n^\nu = 1, |G| \le 600$ 

| $I \backslash \nu$ | P1   | P2 | P3  | P4  | P5   | P6  | P7   |
|--------------------|------|----|-----|-----|------|-----|------|
| P1                 | 9559 | 0  | 238 | 0   | 0    | 0   | 0    |
| P2                 | 0    | 9  | 0   | 0   | 0    | 0   | 1    |
| P3                 | 237  | 0  | 108 | 0   | 0    | 0   | 0    |
| P4                 | 0    | 0  | 0   | 494 | 0    | 0   | 0    |
| P5                 | 0    | 0  | 0   | 0   | 7784 | 0   | 0    |
| P6                 | 0    | 0  | 0   | 0   | 0    | 498 | 0    |
| P7                 | 0    | 1  | 0   | 0   | 0    | 0   | 1515 |

$$3 \times 3' \times 3'' = n^{l}1 + ...$$
  
 $3 \times 3' \times 3'' = n^{\nu}1 + ...$ 

Finite groups with  $|G| \le 600$  generate 28,807 inequivalent pairs  $\{M^I, M^{\nu}\}$ 

| $n^l \backslash n^{ u}$ | 1      | 2    | 3 |
|-------------------------|--------|------|---|
| 1                       | 20,437 | 3816 | 0 |
| 2                       | 3816   | 729  | 0 |
| 3                       | 0      | 0    | 0 |

# **Group** $A_4$ **permits** $n^l = n^{\nu} = 2$

$$M' \sim egin{pmatrix} 0 & \lambda_2 v_3 & \lambda_1 v_2 \ \lambda_1 v_3 & 0 & \lambda_2 v_1 \ \lambda_2 v_2 & \lambda_1 v_1 & 0 \end{pmatrix}$$

$$M^{
u} \sim egin{pmatrix} 0 & \mu_2 \mathbf{v_3} & \mu_1 \mathbf{v_2} \ \mu_1 \mathbf{v_3} & 0 & \mu_2 \mathbf{v_1} \ \mu_2 \mathbf{v_2} & \mu_1 \mathbf{v_1} & 0 \end{pmatrix},$$

# Group $A_4$ permits $n^l = n^{\nu} = 2$

$$M' \sim \begin{pmatrix} 0 & \lambda_2 v_3 & \lambda_1 v_2 \\ \lambda_1 v_3 & 0 & \lambda_2 v_1 \\ \lambda_2 v_2 & \lambda_1 v_1 & 0 \end{pmatrix}$$

$$M^{
u} \sim egin{pmatrix} 0 & \mu_2 extbf{v}_3 & \mu_1 extbf{v}_2 \ \mu_1 extbf{v}_3 & 0 & \mu_2 extbf{v}_1 \ \mu_2 extbf{v}_2 & \mu_1 extbf{v}_1 & 0 \end{pmatrix},$$

Eigenvalues of <u>sum of matrices</u>: notoriously difficult  $\rightarrow$  resort to numerical analysis.

# Group $A_4$ permits $n^l = n^{\nu} = 2$

$$M' \sim egin{pmatrix} 0 & \lambda_2 \emph{v}_3 & \lambda_1 \emph{v}_2 \\ \lambda_1 \emph{v}_3 & 0 & \lambda_2 \emph{v}_1 \\ \lambda_2 \emph{v}_2 & \lambda_1 \emph{v}_1 & 0 \end{pmatrix}$$

$$M^{
u D} \sim egin{pmatrix} 0 & \mu_2 \mathbf{v}_3 & \mu_1 \mathbf{v}_2 \ \mu_1 \mathbf{v}_3 & 0 & \mu_2 \mathbf{v}_1 \ \mu_2 \mathbf{v}_2 & \mu_1 \mathbf{v}_1 & 0 \end{pmatrix},$$

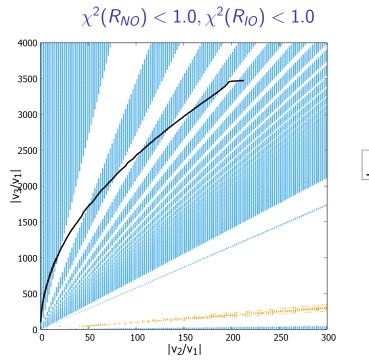
This structure is unique among |G| < 600 (besides  $T_7$  for  $n^l = 1, n^{\nu} = 2$ )

# **Group** $A_4$ **permits** $n' = n^{\nu} = 2$

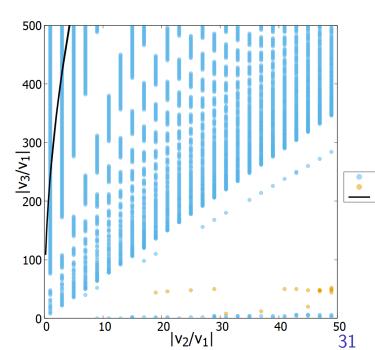
$$M' \sim \begin{pmatrix} 0 & \lambda_2 v_3 & \lambda_1 v_2 \\ \lambda_1 v_3 & 0 & \lambda_2 v_1 \\ \lambda_2 v_2 & \lambda_1 v_1 & 0 \end{pmatrix}$$

$$M^{
u D} \sim egin{pmatrix} 0 & \mu_2 \mathbf{v}_3 & \mu_1 \mathbf{v}_2 \ \mu_1 \mathbf{v}_3 & 0 & \mu_2 \mathbf{v}_1 \ \mu_2 \mathbf{v}_2 & \mu_1 \mathbf{v}_1 & 0 \end{pmatrix},$$

Free parameters are:  $v_2$ ,  $v_3$ ,  $\lambda_2$ ,  $\mu_2$  (8 real parameters).







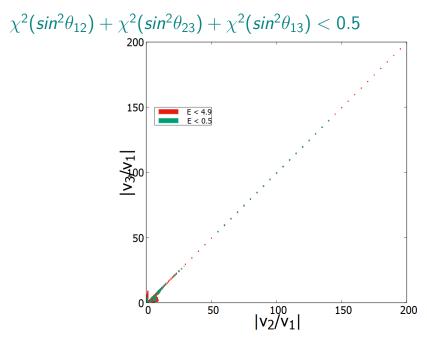
Case: 
$$n' = n^{\nu} = 2$$
,  $G_F = A_4$ 

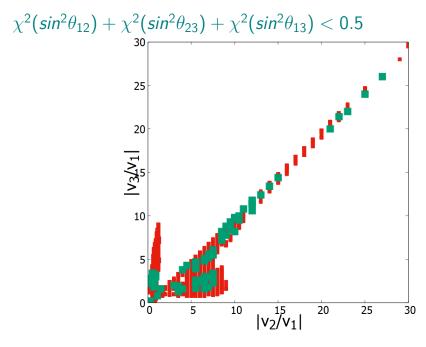
Masses of charged and neutral leptons (NO) can be accommodated in the 3HDM.

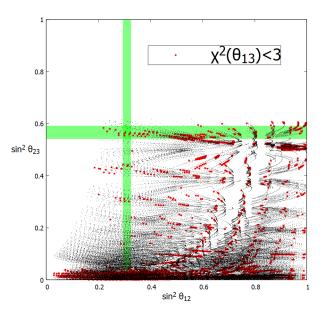
### Neutrino masses:

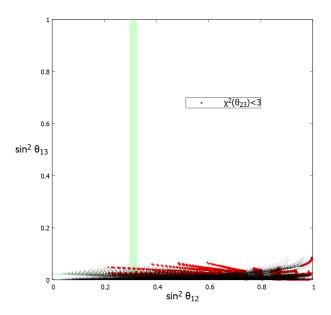
| $m_1$ | $\approx$ | $5.0 	imes 10^{-6} 	ext{ eV}$     |
|-------|-----------|-----------------------------------|
| $m_2$ | $\approx$ | $0.86 	imes 10^{-2} \text{ eV}$   |
| $m_3$ | $\approx$ | $5.03 \times 10^{-2} \text{ eV}.$ |

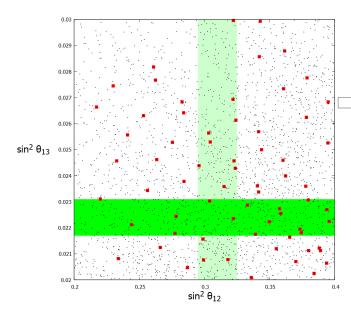
These values are within experimental bounds.

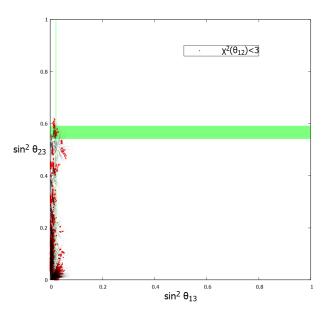


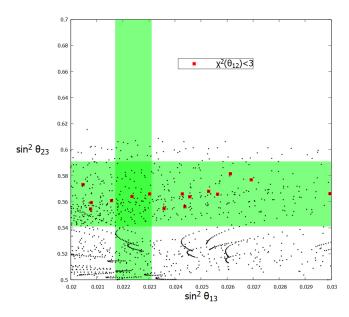








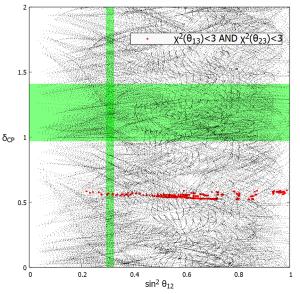


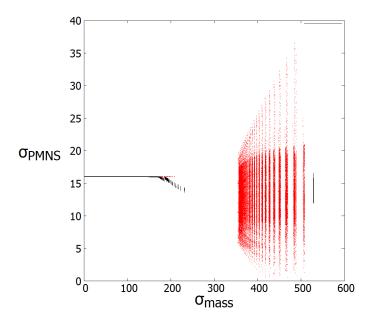


# **Case:** $n' = n^{\nu D} = 2$

PMNS Mixing angles can be accommodated in the 3HDM, while respecting the lepton mass order

### Calculated $\delta_{CP} \approx 0.6$ , is $4\sigma$ away from 1.4.





# Case: $n^{l} = n^{\nu D} = 2$ , $G_F = A_4$

Observed in 8-dimensional parameter space:

calculated  $U_{PMNS}$  AND mass order are within exp. bounds

calculated  $U_{PMNS}$  OR mass ratios are within exp. bounds calculated  $U_{PMNS}$  AND mass ratios are NOT within exp.

calculated  $\delta_{CP}$  is off by  $\approx 4\sigma$ 

bounds

### Viability of $G_F$ GF mass mass mass mass order order ratios ratios +PMNS +PMNS 1HDM (1,1) ( $\sim$ SM) X X X 2HDM (1,1) X X X 3HDM (1,1) X X X 3HDM(1,(1,1))X X $T_7$ X 3HDM(3,(1,1))X X 3HDM ((1,1),1) X X X X X 3HDM((1,1),3)X X 3HDM ((1,1),(1,1))

# Thank you!